



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|-------------|----------------------|-------------------------------|------------------------|
| 09/659,664 | 09/12/2000 | Adam G. Southam | 1399.001US1 | 6127 |
| 21186 7590 02/23/2009 SCHWEGMAN, LUNDBERG & WOESSNER, P.A. P.O. BOX 2938 MINNEAPOLIS, MN 55402 | | | EXAMINER CHAMPAGNE, DONALD | |
| | | | ART UNIT 3688 | PAPER NUMBER |
| | | | MAIL DATE 02/23/2009 | DELIVERY MODE PAPER |

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE BOARD OF PATENT APPEALS
AND INTERFERENCES

Ex parte ADAM G. SOUTHAM
and
JEFFREY SVEN GUSTAFSON

Application No. 09/659,664
Technology Center 3600

Mailed: February 23, 2009

Before PAMELA S. BENNETT, *Review Team Paralegal*.
BENNETT, *Review Team Paralegal*.

ORDER RETURNING UNDOCKETED APPEAL TO EXAMINER

This application was electronically received by the Board of Patent Appeals and Interferences on February 9, 2009. A review of the application revealed that it is not ready for docketing as an appeal. Accordingly, the application is herewith being returned to the Examiner to address the following matters requiring attention prior to docketing.

APPEAL BRIEF, SUMMARY OF CLAIMED SUBJECT MATTER

According to 37 CFR § 41.37(c) (v) (2007), an Appeal Brief must include the following:

(v) ***Summary Of Claimed Subject Matter.*** A concise explanation of the subject matter defined in each of the independent claims involved in the appeal, which shall refer to the specification by page and line number, and to the drawing, if any, by reference characters. For each independent claim involved in the appeal and for each dependent claim argued separately under the provisions of paragraph (c)(1)(vii) of this section, every means plus function and step plus function as permitted by 35 U.S.C. 112, sixth paragraph, must be identified and the structure, material, or acts described in the specification as corresponding to each claimed function must be set forth with reference to the specification by page and line number, and to the drawing, if any, by reference characters

The “Summary of Claimed Subject Matter” appearing on pages 6 and 7 of the Appeal Brief filed March 22, 2006 is deficient because it does not properly map the independent claims to the specification. Correction is required.

Section 1205.03 of the *Manual of Patent Examining Procedure* (MPEP) (Eighth Edition, Rev. 4, October 2005) states in part:

(B) When the Office holds the brief to be defective solely due to appellant’s failure to provide a summary of the claimed subject matter as required by 37 CFR 41.37(c)(1)(v), an entire new brief need not, and should not, be filed. Rather, a paper providing a summary of the claimed subject matter as required by 37 CFR 41.37(c)(1)(v) will suffice. Failure to timely respond to the Office’s requirement will result in dismissal of the appeal. See MPEP § 1215.04 and § 711.02(b).

EXAMINER'S ANSWER, HEADINGS

A review of the file indicates that the Examiner's Answer mailed June 14, 2006 does not comply with the guidelines provided in MPEP 1207.02 because it does not contain all of the required heading items. Specifically, the Examiner's Answer is missing "(11) *Related Proceedings Appendix*."

REVOCATION AND POWER OF ATTORNEY

A "Revocation and Power of Attorney" was filed on January 11, 2008. There is no indication on the record that the Examiner has considered the above "Revocation and Power of Attorney."

CONCLUSION

Accordingly, it is **ORDERED** that the application is returned to the Examiner:

- 1) to hold the Appeal Brief filed March 22, 2006 defective, as required by 37 CFR § 41.37(d);
- 2) to notify Appellants to submit a paper which corrects the "Summary of Claimed Subject Matter";
- 3) to acknowledge and consider the above-noted paper;
- 4) to issue a PTOL-90 which corrects the Examiner's Answer mailed June 14, 2006 by providing the "(11) *Related Proceedings Appendix*."

5) to consider the “Revocation and Power of Attorney” filed January 11, 2008; and

6) for such further action as may be appropriate.

If there are any questions pertaining to this Order, please contact the Board of Patent Appeals and Interferences at 571-272-9797.

PSB

Schwegman, Lundberg & Woessner, P.A.
P.O. Box 2938
Minneapolis, MN 55402